

7-31-01

07/30/01

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

Attorney Docket No.

N1205

First Inventor

Herbert M. WILSON et al.

Title

Methods for Tissue Culturing and Transforming Elite Inbreds of
Zea Mays L.

Assignee Information: Stine Biotechnology
Ames, Iowa

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
3. ☒ Specification Total pages [26]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross references to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
4. ☐ Drawing(s) (35 USC 113) (Total Sheets) []
5. ☒ Oath or Declaration (Total Pages) [3]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application
(37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
6. ☒ Application Data Sheet.

ADDRESS TO: Assistant Commissioner of Patents
Box Patent Application
Washington, D.C. 20231

7. ☐ CD-ROM or CD-R in duplicate, large table
or Computer Program
8. Nucleotide and/or Amino Acid Sequence Submission
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

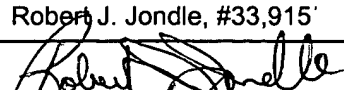
9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement
(when there is an assignee)
 - ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement /PTO 1449
 - ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s).
16. ☐ Request and Certification under 35 U.S.C.
122(b)(2)(B)(i).
17. ☒ Other: Marked-Up Copies of Amendments; and
Certificate of Mailing by Express Mail

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in an
application Data Sheet under 37 CFR 1.76::

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/203,679
Prior application information: Examiner G. Benzion Group/Art Unit 1638

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied
under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by
reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

☒ Customer Number or Bar Code Label **6449**

Address	Rothwell, Figg, Ernst & Manbeck Suite 701-East, 555 13th Street, N.W.				
City	Washington	State	D.C.	Zip Code	20004
Country	U.S.A.	Telephone	202-783-6040	Fax	202-783-6031
Name	Robert J. Jondle, #33,915				
Signature				Date	July 30, 2001

1101 U.S. PTO
09/917965

07/30/01

FEE TRANSMITTAL (Large Entity)		Complete if Known	
		Application Number	09/
		Filing Date	
		First Named Inventor	Herbert M. WILSON et al.
		Group Art Unit	
		Examiner Name	
Total Amount of Payment	\$710.00	Attorney Docket Number	N1205-014

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to Deposit Account Number 02-2135 in the name of Rothwell, Figg, Ernst & Manbeck, PC

☐ Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge for the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

☒ Payment Enclosed: Check

FEE CALCULATION (continued)
3. ADDITIONAL FEES

Fee Description	Fee Code	Fee Paid
<input type="checkbox"/> Surcharge - late filing fee or oath	105	130
<input type="checkbox"/> Surcharge - late provisional filing fee or cover sheet	127	50
<input type="checkbox"/> Non-English specification	139	130
<input type="checkbox"/> For filing a request for reexamination	147	2,520
<input type="checkbox"/> Requesting publication of SIR prior to Examiner action	112	920
<input type="checkbox"/> Requesting publication of SIR after Examiner action	113	1,840*
<input type="checkbox"/> Extension for reply within first month	115	110
<input type="checkbox"/> Extension for reply within second month	116	390
<input type="checkbox"/> Extension for reply within third month	117	890
<input type="checkbox"/> Extension for reply within fourth month	118	1,390
<input type="checkbox"/> Extension for reply within fifth month	128	1,890
<input type="checkbox"/> Notice of Appeal	119	310
<input type="checkbox"/> Filing a brief in support of an appeal	120	310
<input type="checkbox"/> Request for Oral Hearing	121	270
<input type="checkbox"/> Petition to institute a public use proceeding	138	1,510
<input type="checkbox"/> Petition to revive -unavoidable	140	110
<input type="checkbox"/> Petition to revive - unintentional	141	1,240
<input type="checkbox"/> Utility issue fee (or reissue)	142	1,240
<input type="checkbox"/> Design issue fee	143	440
<input type="checkbox"/> Plant issue fee	144	600
<input type="checkbox"/> Petitions to the Commissioner	122	130
<input type="checkbox"/> Petitions related to provisional applications	123	50
<input type="checkbox"/> Submission of Information Disclosure Statement	126	240
<input type="checkbox"/> Recording each patent assignment per property (times number of properties)	581	40
<input type="checkbox"/> Filing a submission after final rejection (37 CFR .129(a))	146	710
<input type="checkbox"/> For each additional invention to be examined (37 CFR 1.129(b))	149	710

Other fee (specify)

☐

* Reduced by Basic Filing Fee Paid

SUBTOTAL \$

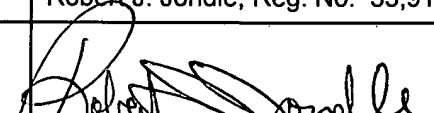
FEE CALCULATION
1. FILING FEE

Fee Description	Fee Code	Fee Paid
<input checked="" type="checkbox"/> Utility Filing Fee	101	710
<input type="checkbox"/> Design Filing Fee	106	320
<input type="checkbox"/> Plant Filing Fee	107	490
<input type="checkbox"/> Reissue Filing Fee	108	710
<input type="checkbox"/> Provisional Filing Fee	114	150
SUBTOTAL		\$710

2. CLAIMS

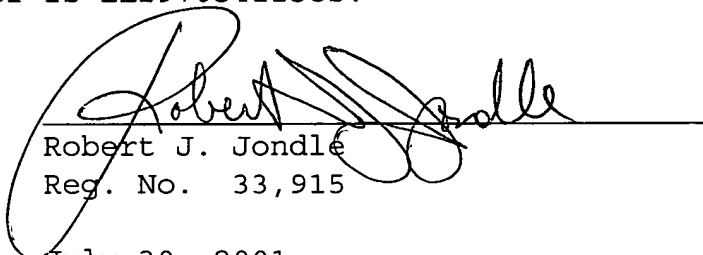
	Paid	Fee from Extra	below	Fee
Total Claims	7 =	x	\$18	=
Independent Claims	- 3 =	x	80	=
Multiple Dependent Claims		+	270	=

SUBTOTAL \$

SUBMITTED BY		Complete (if applicable)			
NAME AND REG. NUMBER	Robert J. Jondle, Reg. No. 33,915				
SIGNATURE		DATE	July 30, 2001	DEPOSIT ACCOUNT USER ID	

Certificate of Mailing by Express Mail

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail (post office to addressee) in an envelope addressed to: Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231 on the date indicated below. The number of the Express Mail mailing label is EL297054115US.



Robert J. Jondle
Reg. No. 33,915

July 30, 2001
(Date of Signature)

0947965-072001